Federal Transit Administration’s (FTA) Tribal Transit Program

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FTA Regional Tribal Liaison

18th Annual Northwest Tribal Transportation Symposium

Airway Heights, Washington
April 2011
Federal Transit Administration

Provide financial assistance through grants to develop new public transportation systems and improve, maintain, and operate existing systems.

The FTA consists of 10 program offices, headquartered in Washington, DC, and field offices in 10 regions.
Tribal Transit Program

• Established the Public Transportation on Indian Reservation Program (referred to as the Tribal Transit Program (TTP))

• Authorizes direct grants to Indian tribes for any purpose eligible under this section” (Section 5311 non-urbanized formula program)

  - Capital, planning and operating assistance for rural/remote public transit service

  - Acquisition of public transportation services, including service agreements with private providers of public transportation services
Tribal Transit Program Grants

From FY2006 to FY2009:

• 208 TTP grants awarded

• 118 Tribes awarded at least one TTP grant
  – 68 received a single grant
  – 30 received two grants
  – 20 received a grant each year (four grants)

• One third of the grants are for planning only
Tribal Transit Program Funding

- Total TTP funds (FY2006 – 2009): $61,920,000
  - Region 1 - $206,188
  - Region 2 - $75,000
  - Region 4 - $3,584,939
  - Region 5 - $4,280,451
  - Region 6 - $12,882,034
  - Region 7 - $3,990,868
  - Region 8 - $8,345,973
  - Region 9 - $9,015,099
  - Region 10 - $19,539,448
- FY2010 NOA, March 2, 2011 announced $15,074,963
Tribal Transit Program

• Funding Levels
  – FY 2006 - $8 Million
  – FY 2007 - $10 Million
  – FY 2008 - $12 Million
  – FY 2009 - $15 Million
  – FY 2009- ARRA $17 Million
  – FY 2010- $15 Million

  – Total of $77 Million for Tribal Transit in last 5 years
2011 TTP ???

- Federal Register Notice May/June, 2011
- Approximately $15 million for Tribal Transit
- Funding for planning, operations and capital
- Applications due approximately July/August, 2011
Other Grant Programs

- Section 5310 - Elderly and Persons with Disabilities – managed by states - Rural
- Section 5311 – Non-Urbanized Formula – managed by states - Rural
- Section 5316 – Job Access Reverse Commute – managed by the states - Rural
- Section 5317- New Freedoms – managed by the states – Rural
- Section 5309 – Capital Bus & Bus Facilities
- Section 5320 - Paul S. Sarbanes - Transit in the Parks
- Section 5308 – Clean Fuels
Tribal Transit and other funds

- TTP, direct government relationship, a few less requirements, such as matching funds, civil rights, DOL.
- All other FTA programs, Tribes must meet all the same requirements as any other transit provider.
Direct or Sub-Recipient?

- Section 5309, 5320, 5308 and 5311 TTP are direct grants with FTA.
- Rural Section 5310, 5311, 5316, and 5317 are programs managed by the States for FTA.
- If a Tribe applies for funding through the State and is awarded funding, they can request to be a direct recipient with FTA.
2010 TTP Funding Nationwide

- $15 Million Available
- 16 Tribes in the Region competed successfully for $3,343,723 in funds
  - Alaska $576,897
  - Washington $1,772,926
  - Oregon $741,900
  - Idaho $250,000
2010 Tribal Transit Selections

- 4 projects in Alaska for $578,897
  - Operations
    - The Sitka Tribe - $270,000
    - Tetlin Village Council - $216,470
    - Crooked Creek - $65,427
  - Planning
    - Native Village of Unalakleet - $25,000
2010 Tribal Transit Selections (cont’d)

- 4 Projects in Oregon for $741,900

- Operations:
  - Confederated Tribes of the Umatilla Indian Reservation - $304,940
  - Confederated Tribes of the Grand Ronde - $248,000
  - Confederated Tribes of Siletz Indians - $164,000

- Planning:
  - Confederated Tribes of Warm Springs - $25,000
2010 Tribal Transit Selections (cont’d)

- 7 Projects in Washington for $1,772,926
  - Cowlitz Indian Tribe - $373,658
  - Tulalip Tribe - $236,702
  - Snoqualmie Indian Tribe - $329,013
  - Lummi Indian Business Council - $260,510
  - Stillaguamish Tribe of Indians - $186,000
  - Spokane Tribe of Indians - $141,733
  - Swinomish Tribal Community - $245,310
2010 Tribal Transit Selections (cont’d)

• 1 Project in Idaho for $250,000

- Nez Perce Tribe - $250,000
Planning Application Criteria

• Need for the planning study
  – Is the Tribe committed to planning for transit?
  – Is the scope of the proposed study for Tribal Transit?
  – Describe the need and scope of the study in no more than 3 pages

• Evaluation will be pass/fail
  – Every effort will be made to award a grant for as many qualified applicants as possible.
Start-up/Enhancement Application Criteria

• Project Planning and Coordination
  – describe & demonstrate there is sound basis for project
  – project is ready to implement if funded.

• Demonstration of Need
  – demonstrate Tribal transit needs
  – discuss how proposed transit improvements will address identified transit needs of Tribe
Start-up/Enhancement Application Criteria

- **Benefits of Project** - Identify expected project benefits (i.e. increased ridership and daily trips, improved service)
- **Financial Commitment and Operating Capacity** - Identify any other funding sources used by Tribe to support existing or proposed transit services, including human service transportation, IRR, and/or FTA program funding.
Application Project Information

- **Budget**
  - Federal amount requested for each purpose

- **Project Description (indicate category)**
  - provide summary description of proposed project
  - how will it be implemented?
  - how will it be maintained?
  - Start-up/Enhancement projects limit planning to 15% of grant award

- **Project Timeline - milestones**
Eligibility Information

• Eligible Applicants/Direct Recipients - Federally Recognized Indian Tribes or Alaska Native Villages, groups or communities as identified by Bureau of Indian Affairs (BIA)

• Eligible Projects - any purpose under Section 5311 Non-Urbanized (rural) formula program

• Funding Available
  – Start-up/New Services
  – Existing Transit Services
  – Planning Studies (limited to $25,000)
  – Capital Expense – Acquisition, Construction, etc.

• No Local Match
Application Contact Information

- Name of federally-recognized Tribe
- Dun & Bradstreet Data Universal Numbering System (DUNS)
- Contact name, address, & phone/fax number
- Description of public transportation service currently provided by Tribe including areas served.
- Name of person(s) authorized to apply on behalf of Tribe
Application Information

• Legal Capacity
  – provide documentation to show applicant is a federally recognized Tribe
  – who is authorized representative to execute legal agreements on behalf of Tribe
Application Information

• Technical Capacity
  – Give examples of the Tribe’s management of other Federal projects.
  – Resources Tribe has to implement transit projects

• Financial Capacity
  – Financial systems and controls Tribe has in place to adequately receive and manage Federal grants
Terms and Conditions

- Common Grant Rule
- Section 504 and ADA
- Drug and Alcohol Testing
- Charter Service and School Bus
- National Transit Database Reporting
- Procurement and Bus Testing
Terms and Conditions

- DOL 5333(b) Special Warranty Certification
- Maintenance and Satisfactory Continuing Control
- Buy America
- Lobbying
- Financial and legal
- ITS Architecture
Becoming a Grantee

- Legal Authority
- Authorizing Resolution
- Designation of Signature Authority
- TEAM Forms
- ECHO Forms
Planning for Grant Project

- Statewide Transportation Improvement Plan (STIP) (other than TTP grants)
- Environmental Analysis (Construction)
  - Categorical Exclusions
  - Environmental Assessment
  - Environmental Impact Statements
  - 4F
- Section 106 Historic Properties and Parklands (SHPO or THPO)
- Other Environmental Requirements
- Civil Rights Requirements (other than TTP)
TEAM-Web

- PIN certifications and assurances
- Apply for grants
- Report progress and expenditures
- Manage FTA funding
Welcome to TEAM-Web - Windows Internet Explorer

Welcome to TEAM-Web

Federal Transit Administration
United States Department of Transportation

Choose one:

TEAM-Web
TEAM Reports

Regions
Links

If you're having trouble logging into TEAM-Web, Click Here
Welcome to TEAM-Web!

LOGIN

User Name: [Enter]
Password: [Enter]
Database: Production

[ ] Change Password

Login  Forgot

SECURITY NOTICE

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IMPORTANT TEAM USER NOTICES

11/02/2010: Notice: **TEAM Open for FY 2011 Business**

11/02/2010: Notice: Fiscal Year 2011 Certifications and Assurances are now available in TEAM-Web

09/07/2010: Notice: **2010 Year End Close.**

07/30/2010: Notice: **Information: The FTA8011 (Account Classification Code) for FY2010 (RFV5) report available.**


04/20/2010: **Budget Revision Workaround for IE7 Users is available**

The TEAM Help Desk has provided guidance for Internet Explorer 7 users who are encountering issues with the Budget Revision module of the application.

03/19/2010: **Implementation of the new Federal Financial Report (FFR).**

Due to technical and programming difficulties, FTA will postpone the implementation of the new Federal Financial Report (FFR) until the reporting period ending June 30, 2010 which is due July 30, 2010. If you have any questions, please contact your regional
IMPORTANT TEAM USER NOTICES

GENERAL INFORMATION:

04/28/2009: Notice: TEAM AVAILABLE FOR FULL YEAR FY 2009 APPROPRIATIONS

04/27/2009: Information: Special Conditions for the Recovery Act (Revised)

04/14/2009: Information: The FTA8011 (Account Classification Code) for FY2009 (REV3) report available

03/16/2009: Notice: Economic Recovery Conditions of Award

03/09/2009: Notice: TEAM OPEN FOR AMERICAN RECOVERY AND REINVESTMENT ACT

RELEASE NOTICE:

05/22/2009: Notice: TEAM v 4.2.005 was successfully published to PRODUCTION

05/01/2009: Notice: TEAM v 4.2.004 was successfully published to PRODUCTION

04/17/2009: Notice: TEAM v 4.2.003 was successfully published to PRODUCTION
1. Select the applicable certificate.

2. Enter the PIN of the Authorized Official and Attorney.
Sample Grant Projects & Budgets

- Rolling stock (van) purchase
  - Expansion: two 15 passenger cutaways
  - Total Cost - $90,000

- Operating assistance
  - Total Cost - $100,000

- Planning
  - Total Cost - $20,000

- Total: $210,000
1. Select Create New Project

2. Enter Project Number, Section, Brief Description and Fiscal Year.


4. Click here to enter more detailed description.

49 USC 5311(c) Tribal Transit 2010 Environmental Classification

Class I (EIS): No Yes
Class II (CE): Yes
Class III (EA): No Yes
Other [4(f),106]: No Yes
5. Click OK when finished.
Under Modify Application, select Project Information.
<table>
<thead>
<tr>
<th>Project Number</th>
<th>Description</th>
<th>Rev.</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY10 TTP</td>
<td>FY10 Rolling Stock, Operating, Planning</td>
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**Under Modify Application**, select **Project Information**

**Project Details**

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<td>Recipient:</td>
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<td>AEC Tribe</td>
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</table>

1 record(s) retrieved.
1. Edit fields as necessary.

2. Click on Control Totals.

ABC Tribe
FY10 TTP
FY10 Rolling Stock, Operating, Planning

Recipient:
Project:
Project Type:
New/Amendment:
Amend Reason:
Recip Type:
Soc of Statute:
Fed Dom Asst#:
FTA Proj Mgr:
Recip Contact:
State Appl ID:
Est Start/End:

Jennifer Stewart
Jane Doherty

49 USC 5311(c) Tribal Transit
20.50 Federal Transit Formula Grants

1/1/10 - 12/31/11

1 record(s) retrieved.
FY10 TTP FY10 Rolling Stock, Operating, Planning

Note:
For earmarks (Section 5309 grants), FTA will enter relevant information for Congressional representatives under this tab.
1. Click "ADD" to begin a new budget.
2. Use the Details icon to add scopes and ALIs.
3. Budgets are added by scope and activity.
Enter amounts for each.
6. Click OK when finished
<table>
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</table>

**Project Details**

- **Project Number:** FY10 TTP
- **Application Type:** Electronic
- **Entered:** 12/1/200
- **Recipient:** ABC Transit
- **Status:** Pending Submission/App in Development
- **Description:** FY10 Rolling Stock, Operating, Planning
- **Recipient:** 1234
- **FTA Manager:**
- **FTA Amount:** $210,000.00
- **Total Elig. Cost:** $210,000.00
- **Cost Center:** 660-00
- **Submitted:**
- **Awarded:**
- **Executed:**

**Select Milestones**

1. **Milestones**
2. Environmental Findings
3. Fleet Status
4. Application Reviewer
5. Comments/Concurrence
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<tr>
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<th>Seq No</th>
<th>Milestone Description</th>
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<td></td>
<td>3</td>
<td>FIRST VEHICLE DELIVERED</td>
<td>12/31/10</td>
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<tr>
<td></td>
<td>4</td>
<td>ALL VEHICLES DELIVERED</td>
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<tr>
<td></td>
<td>5</td>
<td>CONTRACT COMPLETE</td>
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</table>

1. Enter Milestones
2. Enter Detailed Description
3. Enter other Milestones and Descriptions
4. Click OK when finished

Line Item: 111304 BUY <30 FT BUS FOR EXPANSION

FTA Amount: $90,000.00 Total Eligible Cost: $90,000.00

Milestone Detailed Description

Publish solicitation for vehicle purchase by 4/1/2010. All bids will be due by 5/15/10.
Select Environmental Findings
1. Click the "ADD" buttton to begin

2. Select an environmental classification and type

3. Click OK when finished
Mark “Ready for Proj. #” and click OK.
Reporting

- Federal Financial Report
- Milestone Report
- Quarterly or Annual
- ARRA Reporting
Reporting

ARRA REPORTING

- 1201c reporting in TEAM
- 1512 reporting via www.federalreporting.gov

http://www.fta.dot.gov/index_9440_10542.html
Section 1201(c) Reports

Section 1201(c) of the American Recovery and Reinvestment Act (ARRA)

- Requires U.S. Department of Transportation (DOT) grant recipients to report on the progress they are making in implementing their ARRA grants.
When to Submit 1201(c) Reports

<table>
<thead>
<tr>
<th>Report period</th>
<th>Report deadline</th>
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<tr>
<td>July 31, 2009</td>
<td>August 16, 2009</td>
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<tr>
<td>January 31, 2010</td>
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<tr>
<td>January 31, 2012</td>
<td>February 17, 2012</td>
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</table>
Section 1512 Reports

SECTION 1512 requires reports on the use of ARRA funds by all government agencies. Also referred to as the ‘Jobs Accountability Act’.

Requires recipients of ARRA funds to report on:

1. the total amount of recovery funds received from that agency;
2. the amount of recovery funds received that were expended or obligated;
3. a detailed list of all projects or activities for which recovery funds were expended or obligated, including an estimate of the number of jobs created and the number of jobs retained by the project or activity.
1512 Reporting Timeframes

- **Recipient Submit Report** (Days 1-10)
- **Prime Recipient Review** (Days 11 and 12)
  - Direct recipients should review any data reported by subrecipients during this time. You may NOT submit a report during this timeframe. You can only review what was submitted during the first 10 days.
- **Agency Review** (Days 13-29)
  - FTA will review your report and comments on potential errors.
- **Release** (Day 30)
  - All data from the report is made available for public review.
- **Continuous Correction** (starts three days after the end of the reporting period).
Resources

- FTA Tribal Transit Program *Federal Register* – Vol. 72, No. 64 Public Transportation on Indian Reservations Program; Tribal Transit Program issued April 4, 2007 http://www.fta.dot.gov/laws/leg_reg_federal_register.html
- BIA Tribal Eligibility *Federal Register* – 70 FR 71194 issued November 25, 2005 (Indian Entities Recognized and Eligible to Receive Services from the US BIA)
- FTA Section 5311 Non-Urbanized Formula Program Guidance
- FTA Program Management Circular 5010
- Becoming a Region 10 New Grantee Packet
- FTA Website http://www.fta.dot.gov
- FTA Master Agreement for Tribal Programs
FTA – Region 10 Profile

Areas Served: Alaska, Idaho, Oregon & Washington

- Regional Administrator: Rick Krochalis
- Regional Tribal Transit Contact: Bill Ramos, Beth Sier, and Elaine Wine for ARRA reporting
- Location: 915 2\textsuperscript{nd} Ave., Room 3142, Seattle, WA, 98174
- Phone Number: (206) 220-7954
- Website: www.fta.dot.gov